

Securities Listed on OTC
J.D. Seibert & Co., Inc. - 4th Quarter 2011

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.0%
Market orders as percentage of total non-directed orders	62.2%
Limit orders as percentage of total non-directed orders	37.2%
Other orders as percentage of total non-directed orders	0.6%

Venues receiving significant percentage of total non-directed orders:

Penson Financial Services, Inc.	100.0%
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Information Concerning significant Venues:

Penson Financial Services, Inc.

Type of Orders Routed to Venue:

Limit orders as percentage of total limit orders	100.0%
Market orders as percentage of total market orders	100.0%
Other orders as percentage of total other orders	100.0%