

Securities Listed on New York Stock Exchange  
J.D. Seibert & Co., Inc. - 3<sup>rd</sup> Quarter 2011

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.0%
Market orders as percentage of total non-directed orders	76.5%
Limit orders as percentage of total non-directed orders	22.4%
Other orders as percentage of total non-directed orders	1.1%

Venues receiving significant percentage of total non-directed orders:

Penson Financial Services, Inc.	99.9%
Knight Capital Market, LLC	0.1%

Information Concerning significant Venues:

Penson Financial Services, Inc.

Type of Orders Routed to Venue:

Limit orders as percentage of total limit orders	100.0%
Market orders as percentage of total market orders	99.9%
Other orders as percentage of total other orders	100.0%

Knight Capital Market, LLC

Type of Orders Routed to Venue:

Market orders as percentage of total market orders	0.1%
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