

Securities Listed on New York Stock Exchange
J.D. Seibert & Co., Inc. - 3rd Quarter 2011

Summary Statistics:

Non-directed orders as percentage of total customer orders	998/998
Market orders as percentage of total non-directed orders	763/998
Limit orders as percentage of total non-directed orders	224/998
Other orders as percentage of total non-directed orders	11/998

Venues receiving significant percentage of total non-directed orders:

Penson Financial Services, Inc.	997/998
Knight Capital Market, LLC	1/998

Information Concerning significant Venues:

Penson Financial Services, Inc.

Type of Orders Routed to Venue:

Limit orders as percentage of total limit orders	224/224
Market orders as percentage of total market orders	762/763
Other orders as percentage of total other orders	11/11

Knight Capital Market, LLC

Type of Orders Routed to Venue:

Market orders as percentage of total market orders	1/763
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