

Securities Listed on New York Stock Exchange
J.D. Seibert & Co., Inc. - 1st Quarter 2011

Summary Statistics:

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|--|---------|
| Non-directed orders as percentage of total customer orders | 815/815 |
| Market orders as percentage of total non-directed orders | 558/815 |
| Limit orders as percentage of total non-directed orders | 242/815 |
| Other orders as percentage of total non-directed orders | 15/815 |

Venues receiving significant percentage of total non-directed orders:

| | |
|---------------------------------|---------|
| Penson Financial Services, Inc. | 814/815 |
| Knight Capital Market, LLC | 1/815 |

Information Concerning significant Venues:

Penson Financial Services, Inc.

Type of Orders Routed to Venue:

| | |
|--|---------|
| Limit orders as percentage of total limit orders | 241/242 |
| Market orders as percentage of total market orders | 558/558 |
| Other orders as percentage of total other orders | 15/15 |

Knight Capital Market, LLC

Type of Orders Routed to Venue:

| | |
|--|-------|
| Limit orders as percentage of total limit orders | 1/242 |
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