

Securities Listed on New York Stock Exchange
J.D. Seibert & Co., Inc. - 4th Quarter 2010

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.0%
Market orders as percentage of total non-directed orders	66.5%
Limit orders as percentage of total non-directed orders	30.3%
Other orders as percentage of total non-directed orders	3.2%

Venues receiving significant percentage of total non-directed orders:

Penson Financial Services, Inc.	97.9%
Knight Capital Market, LLC	2.1%

Information Concerning significant Venues:

Penson Financial Services, Inc.

Type of Orders Routed to Venue:

Limit orders as percentage of total limit orders	98.8%
Market orders as percentage of total market orders	97.3%
Other orders as percentage of total other orders	100.0%

Knight Capital Market, LLC

Type of Orders Routed to Venue:

Limit orders as percentage of total limit orders	1.2%
Market orders as percentage of total market orders	2.7%