

Securities Listed on Option Market
J.D. Seibert & Co., Inc. - 3rd Quarter 2010

Summary Statistics:

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|--|--------|
| Non-directed orders as percentage of total customer orders | 100.0% |
| Market orders as percentage of total non-directed orders | 55.8% |
| Limit orders as percentage of total non-directed orders | 44.2% |
| Other orders as percentage of total non-directed orders | 0.0% |

Venues receiving significant percentage of total non-directed orders:

| | |
|---------------------------------|-------|
| Penson Financial Services, Inc. | 98.8% |
| ATD, a CITI Company | 1.2% |

Information Concerning significant Venues:

Penson Financial Services, Inc.

Type of Orders Routed to Venue:

| | |
|--|-------|
| Limit orders as percentage of total limit orders | 98.0% |
| Market orders as percentage of total market orders | 99.5% |

ATD, a CITI Company

Type of Orders Routed to Venue:

| | |
|--|------|
| Limit orders as percentage of total limit orders | 2.0% |
| Market orders as percentage of total market orders | 0.5% |