

Securities Listed on New York Stock Exchange
J.D. Seibert & Co., Inc. - 3rd Quarter 2010

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.0%
Market orders as percentage of total non-directed orders	70.4%
Limit orders as percentage of total non-directed orders	29.4%
Other orders as percentage of total non-directed orders	0.3%

Venues receiving significant percentage of total non-directed orders:

Penson Financial Services, Inc.	98.1%
Knight Capital Market, LLC	1.9%

Information Concerning significant Venues:

Penson Financial Services, Inc.

Type of Orders Routed to Venue:

Limit orders as percentage of total limit orders	99.4%
Market orders as percentage of total market orders	97.6%
Other orders as percentage of total other orders	100.0%

Knight Capital Market, LLC

Type of Orders Routed to Venue:

Limit orders as percentage of total limit orders	0.6%
Market orders as percentage of total market orders	2.4%