

Securities Listed on New York Stock Exchange  
J.D. Seibert & Co., Inc. - 3rd Quarter 2010

Summary Statistics:

Non-directed orders as percentage of total customer orders	1185/1185
Market orders as percentage of total non-directed orders	834/1185
Limit orders as percentage of total non-directed orders	348/1185
Other orders as percentage of total non-directed orders	3/1185

Venues receiving significant percentage of total non-directed orders:

Penson Financial Services, Inc.	1163/1185
Knight Capital Market, LLC	22/1185

Information Concerning significant Venues:

Penson Financial Services, Inc.

Type of Orders Routed to Venue:

Limit orders as percentage of total limit orders	346/348
Market orders as percentage of total market orders	814/834
Other orders as percentage of total other orders	3/3

Knight Capital Market, LLC

Type of Orders Routed to Venue:

Limit orders as percentage of total limit orders	2/348
Market orders as percentage of total market orders	20/834